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DM-10/2003

ATTORNEY DOCKET NO: SMD-58-CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peterson, et al.) Group Art Unit: 1731
Serial No: 10/813,107) Examiner: Unknown
Filed: March 30, 2004) Our Account No: 04-1403
Confirmation No: 5544) Customer No: 22827
Title: Process For Producing Smoking Articles)
With Reduced Proclivity Characteristics)
And Products Made According To Same)

Commissioner for Patents
U.S. Patent and Trademark Office
First Office Box 1450
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
50 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____
☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
 - i. ☐ Certification per Rule 97(e); OR
 - ii. ☐ Filing Fee per Rule 17(p)\$180.00
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3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

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inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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By: Christina L. Mangelsen, Patent Agent

Reg. No: 50,244

Signature: 

Date: August 30, 2004



Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: SMD-58-CON	Serial Number: 10/813,107
	Applicant: Peterson, et al.	
	Filing Date: March 30, 2004 Confirmation No: 5544	Group Art Unit: 1731

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]
- (1) This item is cumulative, per Rule 98(c)
 - (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 USSN 10/054,744, filed 11/13/2001, or
 USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 1120, per Rule 98(d)
 - (3) Both reasons (1) and (2) apply
 - (4) No legible complete copy is possessed, in custody of controlled, or readily available
 - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	Seaman	1	9	9	6	0	0	2	05/25/1933	2
	Seaman	2	0	1	3	5	0	8	05/25/1933	2
	Gregory	2	7	7	6	9	1	2	01/08/1957	2
	Hagen	3	2	1	5	5	7	9	11/02/1965	2
	Tamol	3	5	1	1	2	4	7	05/10/1968	2
	Tamol	3	5	2	6	9	0	4	05/10/1968	2
	Tamol, et al.	3	6	9	9	9	7	3	10/24/1972	2
	Saint-Pastou	3	7	3	6	9	4	0	06/05/1973	2
	Houck, Jr., et al.	3	9	1	1	9	3	2	10/14/1975	2
	West, et al.	3	9	4	9	7	6	2	04/03/1976	2
	Baker, et al.	4	0	7	7	4	1	4	03/07/1978	2
	Hind, et al.	4	1	2	9	1	3	4	12/12/1978	2
	Cohn	4	1	4	6	0	4	0	03/27/1979	2
	Bohrn, et al.	4	2	2	2	7	4	0	09/16/1980	2
	Jaisle, et al.	4	2	6	7	2	4	0	05/12/1981	2
	Simon	4	3	0	3	0	8	4	12/01/1981	2
	Norman, et al.	4	4	5	2	2	5	9	06/05/1984	2
	Dixit	4	5	9	0	9	5	5	05/27/1986	2
	Dashley, et al.	4	6	0	7	6	4	7	08/26/1986	2
	Durocher	4	6	1	5	3	4	5	10/07/1986	2
	Matthews, et al.	4	6	2	2	9	8	3	11/18/1986	2
	Yamaguchi, et al.	4	6	7	9	5	7	5	07/14/1987	2
	Hampl, Jr.	4	7	3	9	7	7	5	04/26/1988	2
	Hampl, Jr.	4	8	0	5	6	4	4	02/21/1989	2
	Adams, et al.	4	8	8	9	1	4	5	12/26/1989	2
	Riedesser	4	9	8	4	5	8	9	01/15/1991	2
	Kallianos, et al.	4	9	9	8	5	4	2	03/12/1991	2
	Garbe	5	0	5	7	6	0	6	10/15/1991	2
	Montoya, et al.	5	0	9	2	3	5	3	03/03/1992	2
	Gentry	5	1	3	1	4	1	6	07/21/1992	2
	Washington	5	1	4	4	9	6	6	09/08/1992	2
	Kasbo, et al.	5	1	7	0	8	0	7	12/15/1992	2
	Riggs, et al.	5	1	7	8	1	6	7	01/12/1993	2
	Washington	5	2	2	1	5	0	2	06/22/1993	2
	Raker, et al.	5	2	6	1	4	2	5	11/16/1993	2

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: SMD-58-CON	Serial Number: 10/813,107
	Applicant: Peterson, et al.	
	Filing Date: March 30, 2004 Confirmation No: 5544	Group Art Unit: 1731

	Baldwin, et al.	5	2	6	3	9	9	9	11/23/1993	2
	Arzonico, et al.	5	2	7	1	4	1	9	12/21/1993	2
	Baldwin, et al.	5	4	1	7	2	2	8	05/23/1995	2
	Chao, et al.	5	5	4	0	2	4	2	07/30/1996	2
	Hultman, et al.	5	6	9	0	7	8	7	11/23/1997	2
	Ishino, et al.	5	7	2	2	4	3	3	03/03/1998	2
	Hotaling, et al.	5	8	2	0	9	9	8	10/13/1998	2
	Ishino, et al.	5	8	4	9	1	5	3	12/15/1998	2
	Peterson, et al.	5	8	7	8	7	5	4	03/09/1999	2
	Peterson, et al.	5	8	7	8	7	5	3	03/09/1999	2

U.S. PATENT APPLICATION PUBLICATIONS										
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER							PUBLICATION DATE	COPY NOTE
	Ashcraft	0	1	3	1	8	6	0	07/17/2003	5

FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE	
											YES	NO	N/A		
		EP	0	3	8	6	8	8	4	A2	12/09/1990	X			2
		EP	0	1	9	3	6	0	7	B1	25/01/1989	X			2
		GB	8	4	8	3	3	2			08/22/1956	X			2

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS	COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication Pulp And Paper Chemistry and Chemical Technology, Third Edition, Volume III by James P. Casey - Editor - A Wiley-Interscience Publication - pp 1507-1521 & pp 1702-1703	2
EXAMINER	DATE CONSIDERED	
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